



PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Robert J. Lickton

Serial No.:

Filed: September 3, 1993

Title: MASTER CHAIN LINK

) Atty. Docket: LICK:008

)

)

) Examiner:

)

) Batch No.:

)

) Group Art Unit:

)

**SUBMISSION OF VERIFIED STATEMENT TO ESTABLISH
SMALL ENTITY STATUS**

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

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WASHINGTON, D.C. 20231



SIGNATURE

Dear Sir:

The attached Verified Statement is being submitted to establish small entity status
of the assignee of the instant application.

Signed at Chicago, in the County of Cook and State of Illinois, this 3rd day of
September, 1993.

Respectfully submitted,


Michael J. Blankstein
Reg. No. P37,097
ARNOLD, WHITE & DURKEE
P.O. Box 4433
Houston, Texas 77210
312/744-0090
Attorney for Applicants

I hereby direct that all correspondence and telephone calls be addressed to Ronald B. Cooley, Arnold,
White & Durkee, P.O. Box 4433, Houston, Texas 77210, (312) 744-0090.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00 Inventor's Full Name: Robert J. Lickton
(First) (Initial) (Last)

Inventor's Signature: Robert J. Lickton

Date: 9-1-93 Country of Citizenship: U.S.A.

Residence: 1612 78th Court, Elmwood Park, Illinois 60635
(Include number, street name, city, state, and country)

IL

Post Office Address: same as above
(If different from Residence Address)

Inventor's Full Name: _____
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: _____

Residence: _____
(Include number, street name, city, state, and country)

Post Office Address: _____
(If different from Residence Address)



PATENT

Applicant or Patentee: Robert J. Lickton

Serial or Patent No.: _____

Attorney's Docket No.: LICK:008

Filed or Issued: _____

For: MASTER CHAIN LINK

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

☒ an owner of the small business concern identified below:

☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: R. L. L. Limited

ADDRESS OF CONCERN: 1612 78th Court

Elmwood Park, Illinois 60635

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled MASTER CHAIN LINK by inventor() described in

☒ the specification filed herewith

☐ application serial no.: _____, filed _____

☐ patent no.: _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: _____
ADDRESS: _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME: _____
ADDRESS: _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Robert J. Lickton
TITLE OF PERSON OTHER THAN OWNER: _____
ADDRESS OF PERSON SIGNING: 1612 78th Court, Elmwood Park, Illinois
60635

SIGNATURE:  DATE: 9-1-93

ARNOLD, WHITE & DURKEE

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